

12/06/01  
J1129 U.S. PTO

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A

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## TRANSMITTAL

WEBSITE: [www.patent-law.org](http://www.patent-law.org)  
BY EXPRESS MAIL No.: EL 930234777 US

To The Honorable Commissioner  
of Patents and Trademarks  
Box Patent Application  
Washington, D.C. 20231

10/010946  
U.S. PRO  
12/06/01

Re: New U.S. Utility Patent Application of: LIPPER, Arthur, III for his  
“DYNAMIC SECURITY PRICE AND VALUE COMPARATOR AND  
INDEXER”; (from provisional patent application 60/251,656 filed  
December 6, 2000); Filed: December 6, 2001; Serial No.: to be assigned.

LAW  
BUSINESS  
TECHNOLOGY

Dear Sir:

Transmitted herewith for filing is the above-referenced utility patent application.  
Enclosed are:

1. New patent application; 35pages total of specification including 14 pages of drawing.
2. One (1) statement claiming small entity status - 37 CFR §1.9(f) and 1.27(c)(1)) (Ind. Inventor).
3. Our post card. Please date stamp and return.

**NOTE: The Declaration & Power of Attorney and the Filing Fee are not included but will be provided separately as missing parts, the latter to cover filing fees as calculated below:**

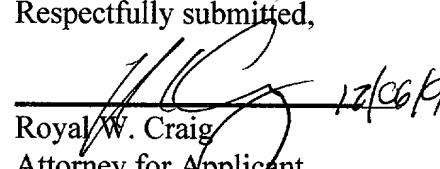
(Col. 1)	(Col. 2)	SMALL ENTITY	LARGE ENTITY				
FOR:	NO. FILED	NO. EXTRA	RATE	FEES	OR	RATE	FEES
BASIC FEE				\$ 370.00	OR		\$740.00
TOTAL CLAIMS	11 - 20=		x 9=	\$		x 18=	\$
INDEP CLAIMS	2 - 3=		x 42=	\$		x 84=	\$
MULT. DEPENDENT CLAIM PRESENTED			x 140=	\$		x 280=	\$
*If the difference in Col. 1 is less than zero, enter "0" in Col. 2.		TOTAL	\$	OR		\$	\$ 370.00

*LIPPER, Arthur-PA-1*

**Title:** DYNAMIC SECURITY PRICE AND  
VALUE COMPARATOR AND INDEXER

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Respectfully submitted,

  
\_\_\_\_\_  
Royal W. Craig  
Attorney for Applicant  
Reg. No. 34,145

I HEREBY CERTIFY that on December 6, 2001, one copy of the above-referenced documents were deposited with the United States Postal Service for delivery by Express Mail to the United States Patent and Trademark Office.

